

*Receipt #5*  
**FILE COPY**

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

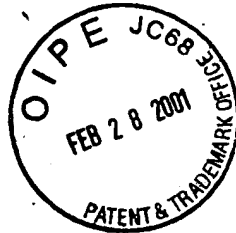
SATO, Kenichiro, et al.

Appln. No.: 09/729,953

Confirmation No.: 7258

Filed: December 06, 2000

For: POSITIVE TYPE PHOTORESIST COMPOSITION



Group Art Unit: 1752

Examiner: Not yet assigned

**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

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Sir:

We enclose a copy of the Official Filing Receipt for the above-identified application and request the following correction:

Under "Continuing Data as Claimed by Applicant":

please delete "02/12/1998" and replace it with -- 02/13/1998 --.

Verification for the requested correction is indicated on the request for Continuation

Application transmittal letter and Preliminary Amendment filed December 6, 2000.

Respectfully submitted,

A handwritten signature of Brett S. Sylvester.

Brett S. Sylvester  
Registration No. 32,765

SUGHRUE, MION, ZINN,  
MACPEAK & SEAS, PLLC  
2100 Pennsylvania Avenue, N.W.  
Washington, D.C. 20037-3213  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860  
Date: February 28, 2001



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 7258

<b>SERIAL NUMBER</b> 09/729,953	<b>FILING DATE</b> 12/06/2000 <b>RULE</b>	<b>CLASS</b> 430	<b>GROUP ART UNIT</b> 1752	<b>ATTORNEY DOCKET NO.</b> Q62193	
<b>APPLICANTS</b> Kenichiro Sato, Shizuoka, JAPAN; Toshiaki Aoai, Shizuoka, JAPAN;  <b>** CONTINUING DATA *****</b> THIS APPLICATION IS A CON OF 09/023,801 02/12/1998 PAT 6,214,517  <b>** FOREIGN APPLICATIONS *****</b> JAPAN HEI. 9-32431 02/17/1997 JAPAN HEI. 9-43974 02/27/1997 JAPAN HEI. 9-48056 03/03/1997 JAPAN HEI. 9-53583 03/07/1997  <b>IF REQUIRED, FOREIGN FILING LICENSE</b> <b>GRANTED ** 01/26/2001</b>					
Foreign Priority claimed <input checked="" type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input checked="" type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged <i>[Signature]</i> Examiner's Signature <i>[Initials]</i>		<b>STATE OR COUNTRY</b> JAPAN	<b>SHEETS DRAWING</b> 0	<b>TOTAL CLAIMS</b> 5	<b>INDEPENDENT CLAIMS</b> 1
<b>ADDRESS</b> SUGHRUE, MION, ZINN, MACPEAK & SEAS, PLLC 2100 PENNSYLVANIA AVENUE, N.W. WASHINGTON, DC 20037-3213					
<b>TITLE</b> Positive type photoresist composition					
<b>FILING FEE RECEIVED</b> 980	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		

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Bib Data Sheet

CONFIRMATION NO. 7258

<b>SERIAL NUMBER</b> 09/729,953	<b>FILING DATE</b> 12/06/2000 <b>RULE</b>	<b>CLASS</b> 430	<b>GROUP ART UNIT</b> 1752	<b>ATTORNEY DOCKET NO.</b> Q62193
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**APPLICANTS**

Kenichiro Sato, Shizuoka, JAPAN;  
Toshiaki Aoai, Shizuoka, JAPAN;

**\*\* CONTINUING DATA \*\*\*\*\***

THIS APPLICATION IS A CON OF 09/023,801 02/12/1998 PAT 6,214,517

**\*\* FOREIGN APPLICATIONS \*\*\*\*\***

JAPAN HEI. 9-32431 02/17/1997  
JAPAN HEI. 9-43974 02/27/1997  
JAPAN HEI. 9-48056 03/03/1997  
JAPAN HEI. 9-53583 03/07/1997

**IF REQUIRED, FOREIGN FILING LICENSE  
GRANTED \*\* 01/26/2001**

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	<b>STATE OR COUNTRY</b> JAPAN	<b>SHEETS DRAWING</b> 0	<b>TOTAL CLAIMS</b> 5	<b>INDEPENDENT CLAIMS</b> 1
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature	Initials		

**ADDRESS**

SUGHRUE, MION, ZINN, MACPEAK & SEAS, PLLC  
2100 PENNSYLVANIA AVENUE, N.W.  
WASHINGTON, DC 20037-3213

**TITLE**

Positive type photoresist composition

<b>FILING FEE RECEIVED</b> 980	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit

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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/729,953	12/06/2000	1752	980	Q62193		5	1

CONFIRMATION NO. 7258

## FILING RECEIPT



\*OC000000005717399\*

SUGHRUE, MION, ZINN, MACPEAK & SEAS, PLLC  
2100 PENNSYLVANIA AVENUE, N.W.  
WASHINGTON, DC 20037-3213

Date Mailed: 01/30/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Kenichiro Sato, Shizuoka, JAPAN;  
Toshiaki Aoai, Shizuoka, JAPAN;

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CON OF 09/023,801 [02/12/1998]  
(\*) Data inconsistent with PTO records.

## Foreign Applications

JAPAN HEI. 9-32431 02/17/1997  
JAPAN HEI. 9-43974 02/27/1997  
JAPAN HEI. 9-48056 03/03/1997  
JAPAN HEI. 9-53583 03/07/1997

If Required, Foreign Filing License Granted 01/26/2001

Projected Publication Date: 05/10/2001

Non-Publication Request: No

Early Publication Request: No

Title

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-- 02/13/98 --

Positive type photoresist composition

Preliminary Class

430

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Data entry by : MURSHID, SHAMSA

Team : OIPE

Date: 01/30/2001



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**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

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**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

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Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231